

Mitigation and Adaptation Studies



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Class 2: The Challenge we are facing

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Contents:

- The Challenge
- Mitigation and Adaptation - some definitions
- Adaptation Science
- Practicalities

Our Quest for Sustainable Development



Our Quest for Sustainable Development

“Sustainable Development is a development that meets the needs of the present while safeguarding Earth's life-support system, on which the welfare of current and future generations depends.”

Griggs et al., 2013

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The Earth's Life-Support System



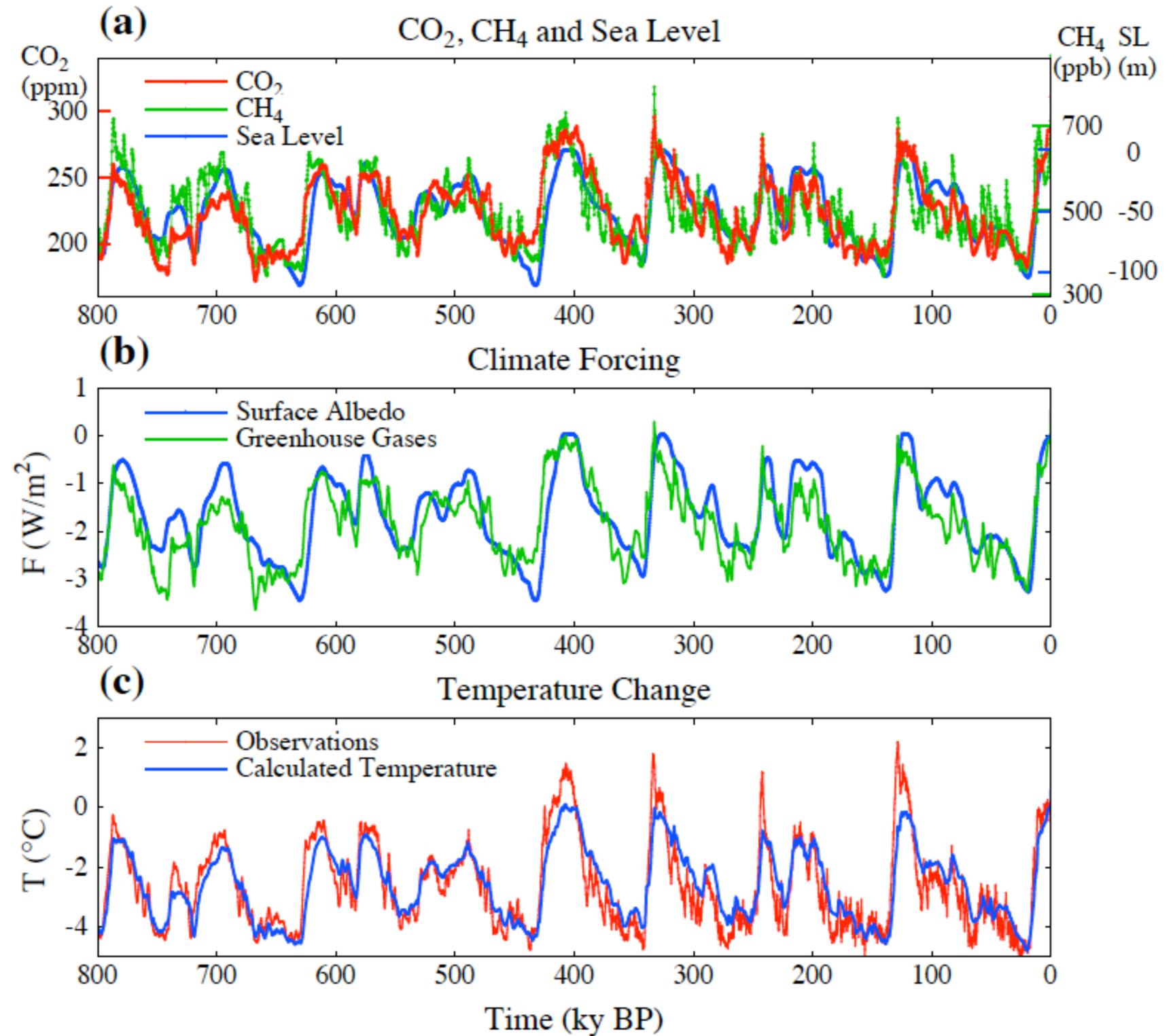
The Earth's Life-Support System

Five questions to address:

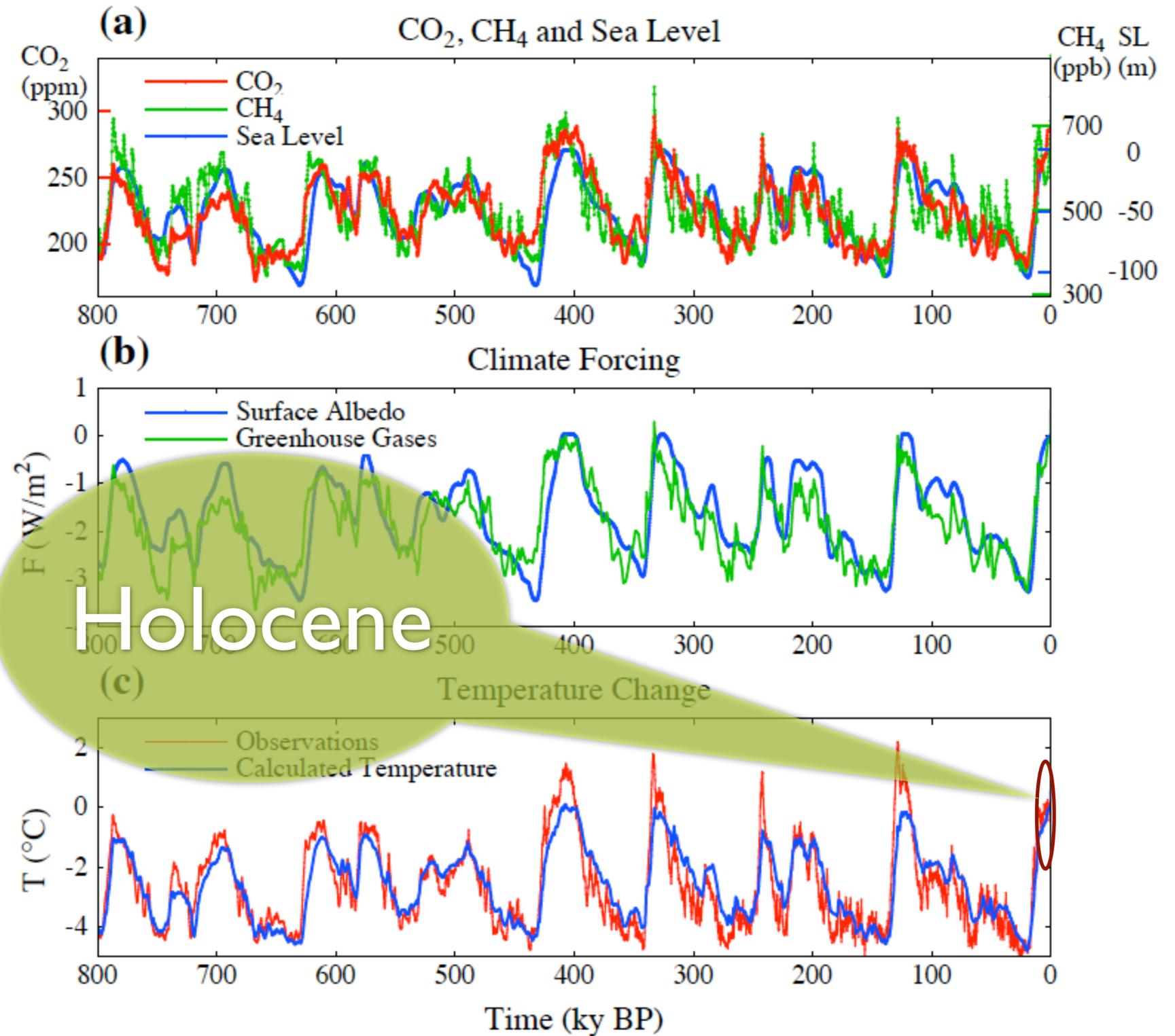
- What is the baseline?
- What is the syndrome?
- What is the diagnosis?
- What is the Prognosis?
- Is there a therapy and what would that be?



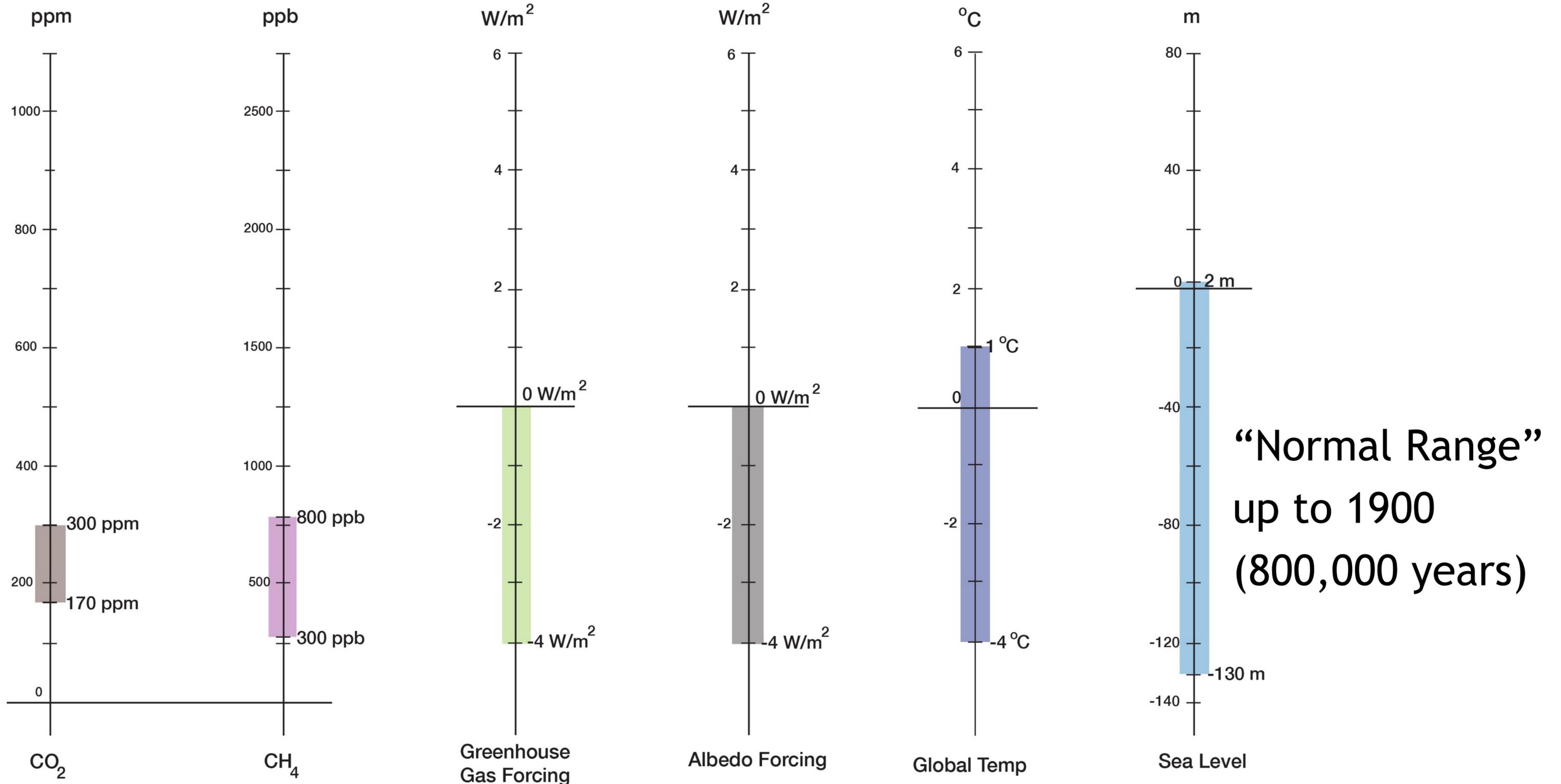
The Baseline: Past Climate Change



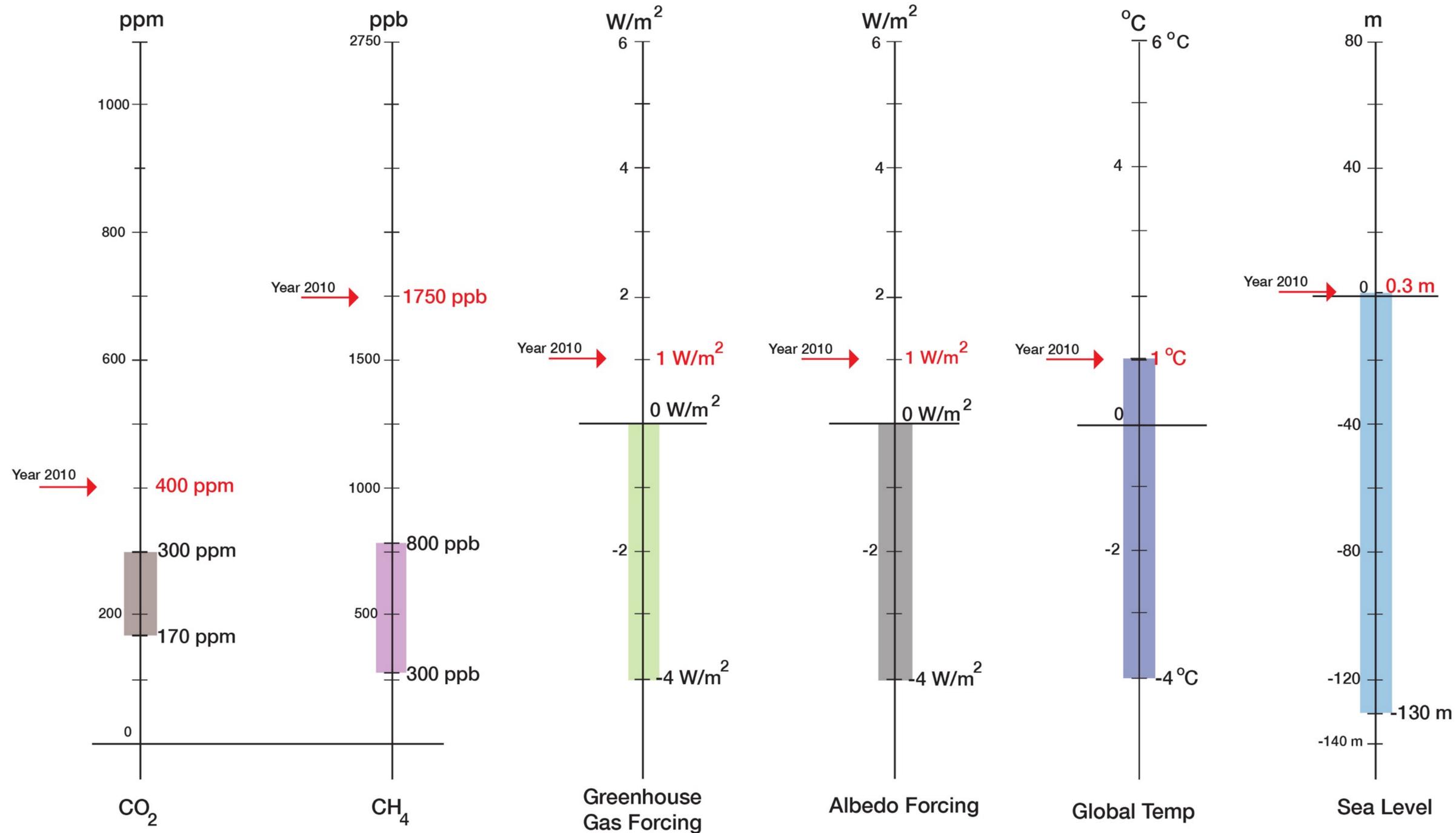
The Baseline: Past Climate Change



The Baseline: Past Climate Change



The Syndrome: Recent Climate and Global Change

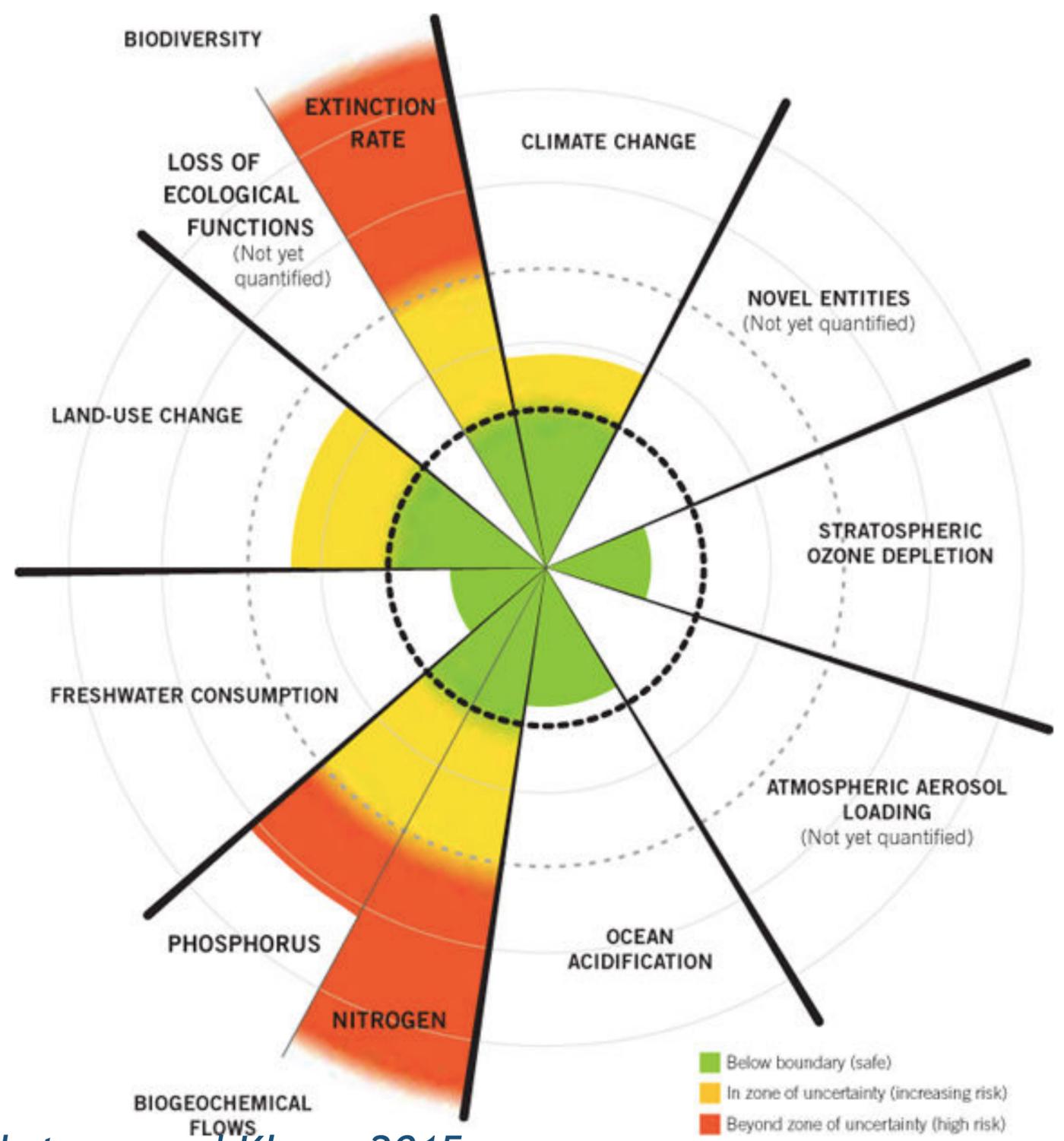


“Current State”

“Normal Range”
up to 1900
(800,000 years)

The Diagnosis: Leaving the “Safe Operating Space”

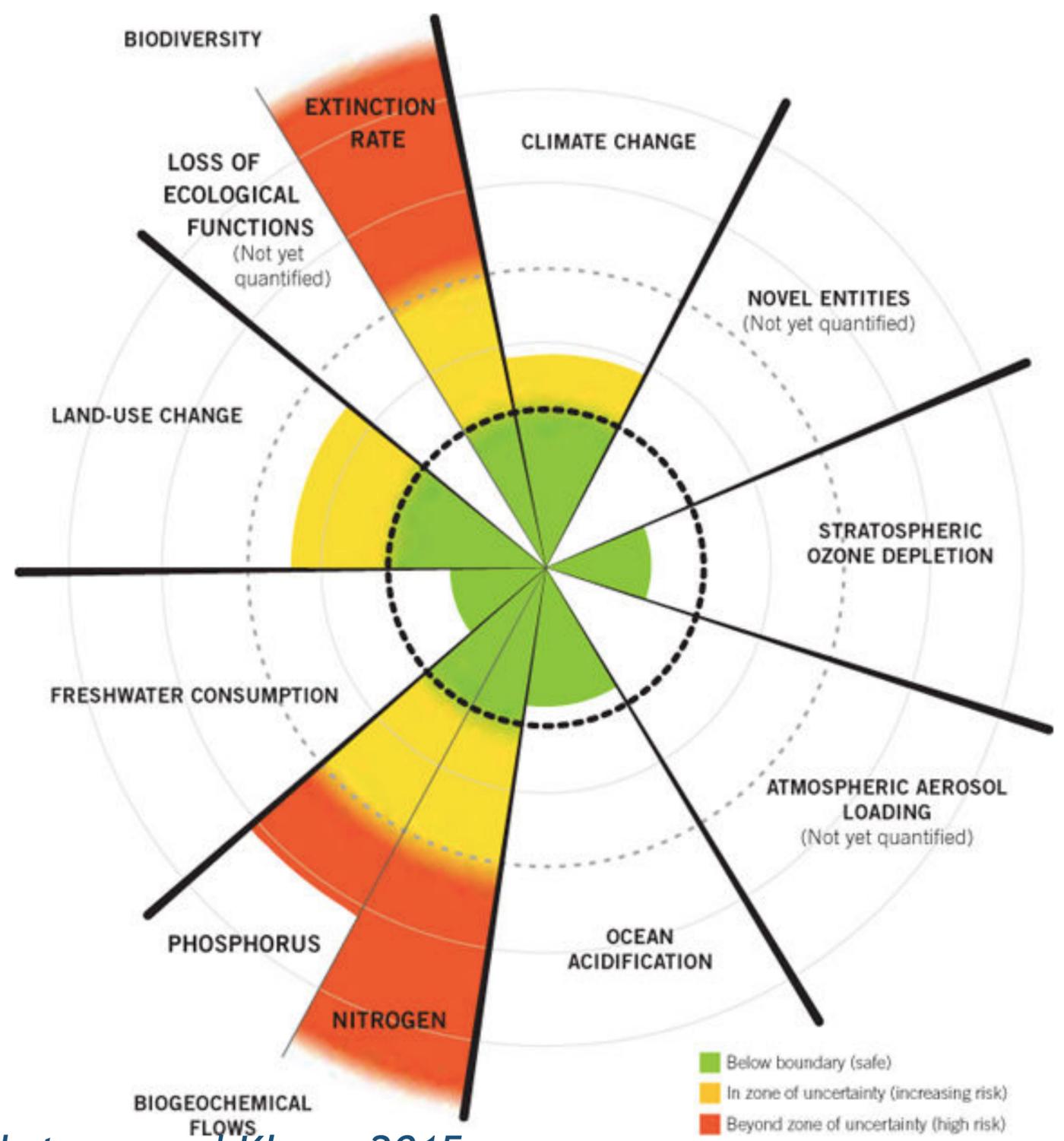
The Diagnosis: Leaving the “Safe Operating Space”



We are moving out of the Holocene and the “safe operating space for humanity” (Rockstroem et al., 2009):

- Climate Change (***)
- Ocean acidification (**)
- Stratospheric ozone depletion (*)
- Nitrogen (*****) and Phosphorous cycles (**)
- Global freshwater (*)
- Change in land use (*)
- Biodiversity loss (*****)
- Atmospheric aerosols (?)
- Chemical pollution (?)

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- Chemical pollution (?)

Climate change and sea level rise are symptoms, not the cause, the “sickness.”



HUMANITY'S JOURNEY

The Evolution of Key Environmental Factors

10,000 YRS

AIR TEMPERATURE

0.01°C / century

CO₂

0.2 ppm / century

SEA LEVEL

0.05 m / century

POPULATION

16 M / century

ENERGY CONSUMPTION

0.01 TW / century

GINI COEFFICIENT

0.003 / century

10,000 BC

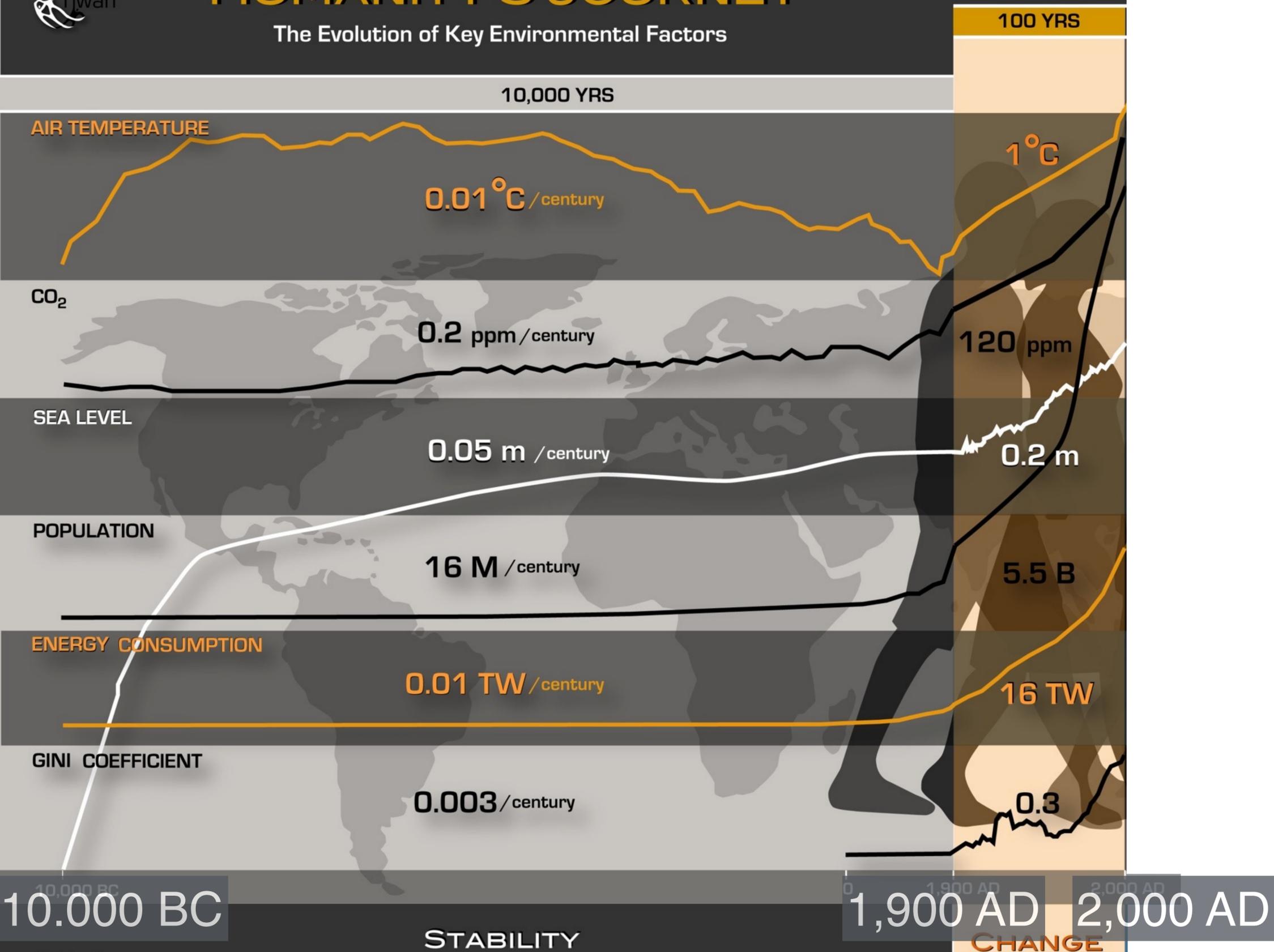
1,900 AD

STABILITY



HUMANITY'S JOURNEY

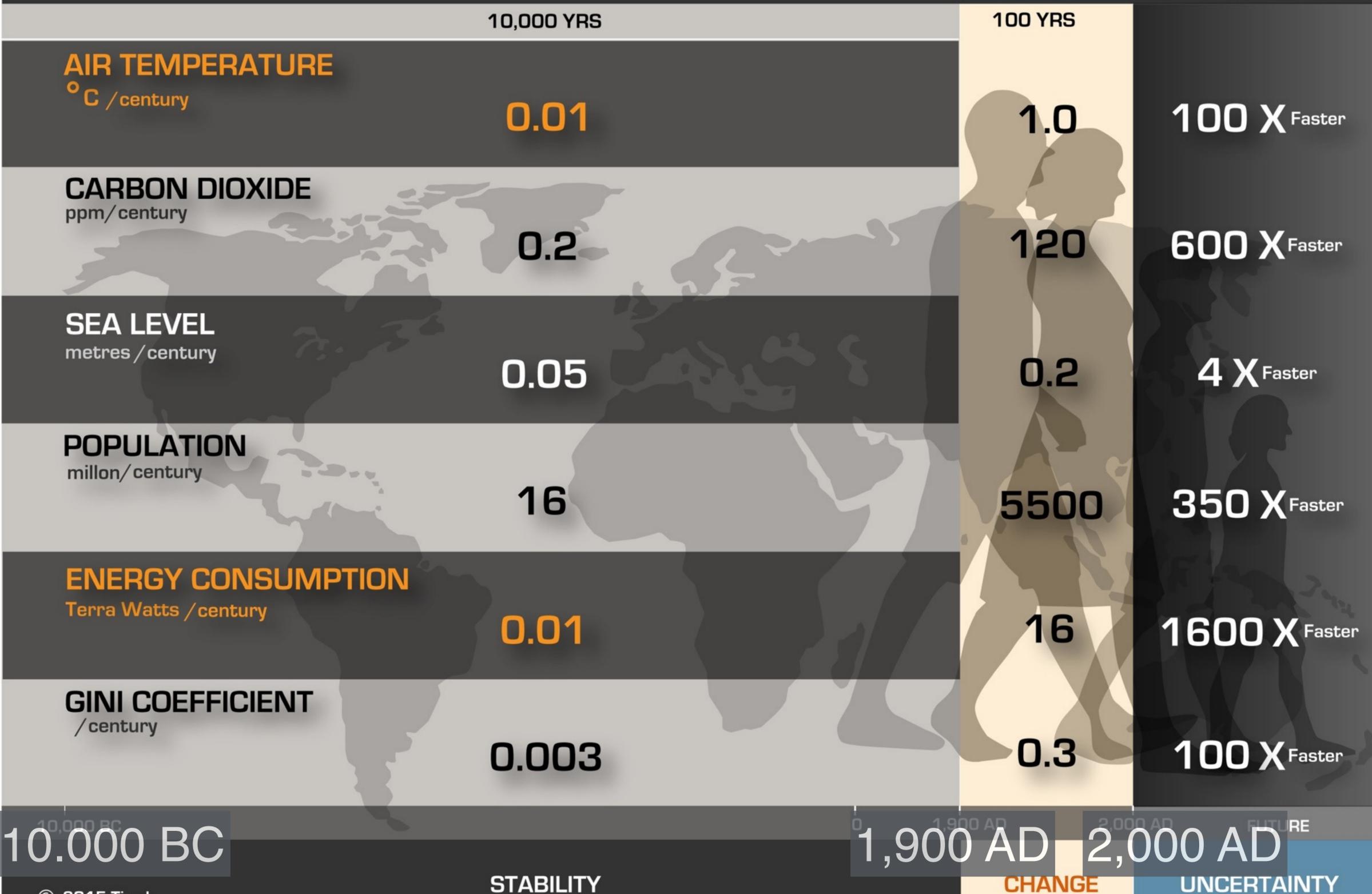
The Evolution of Key Environmental Factors





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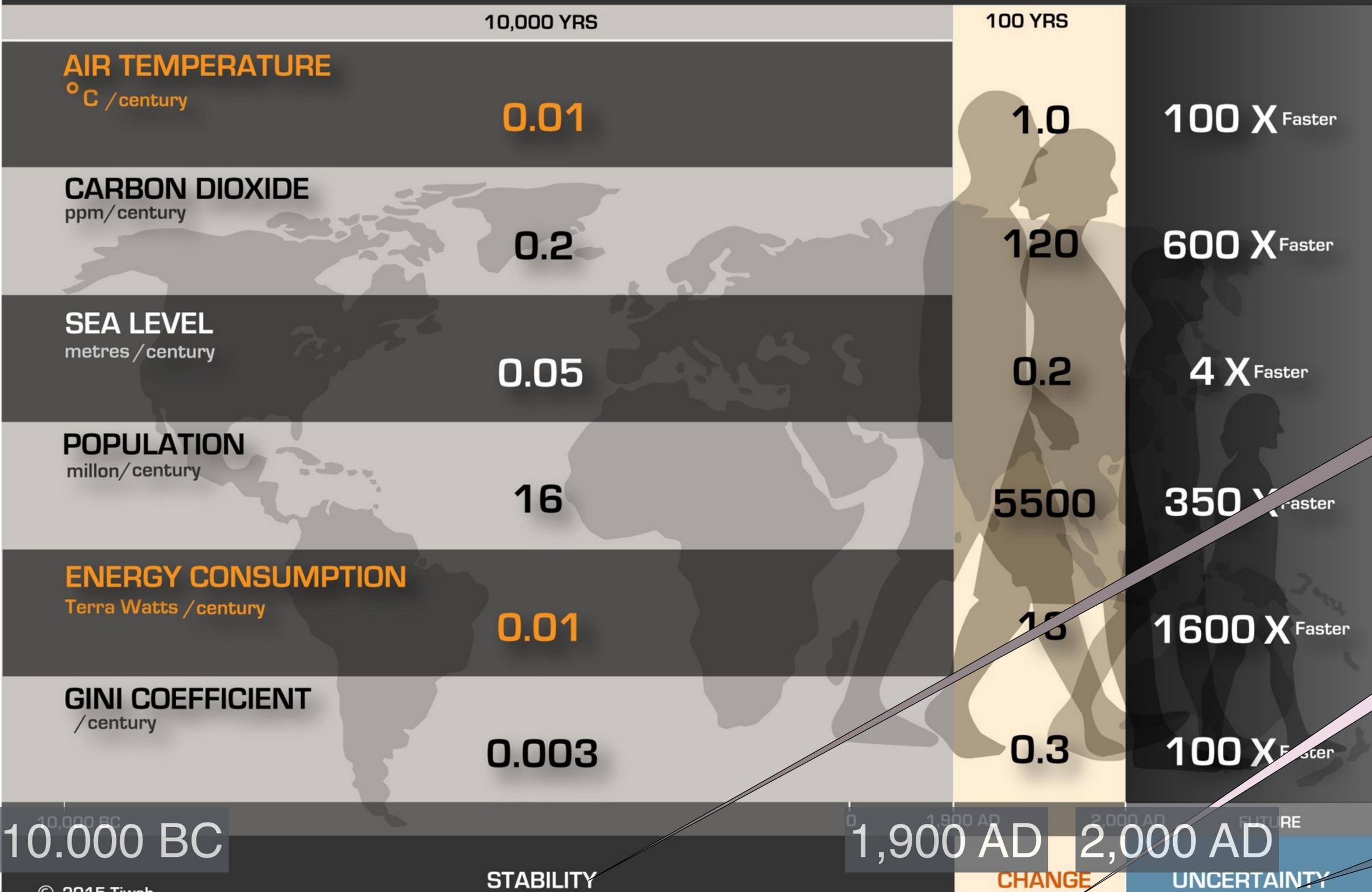
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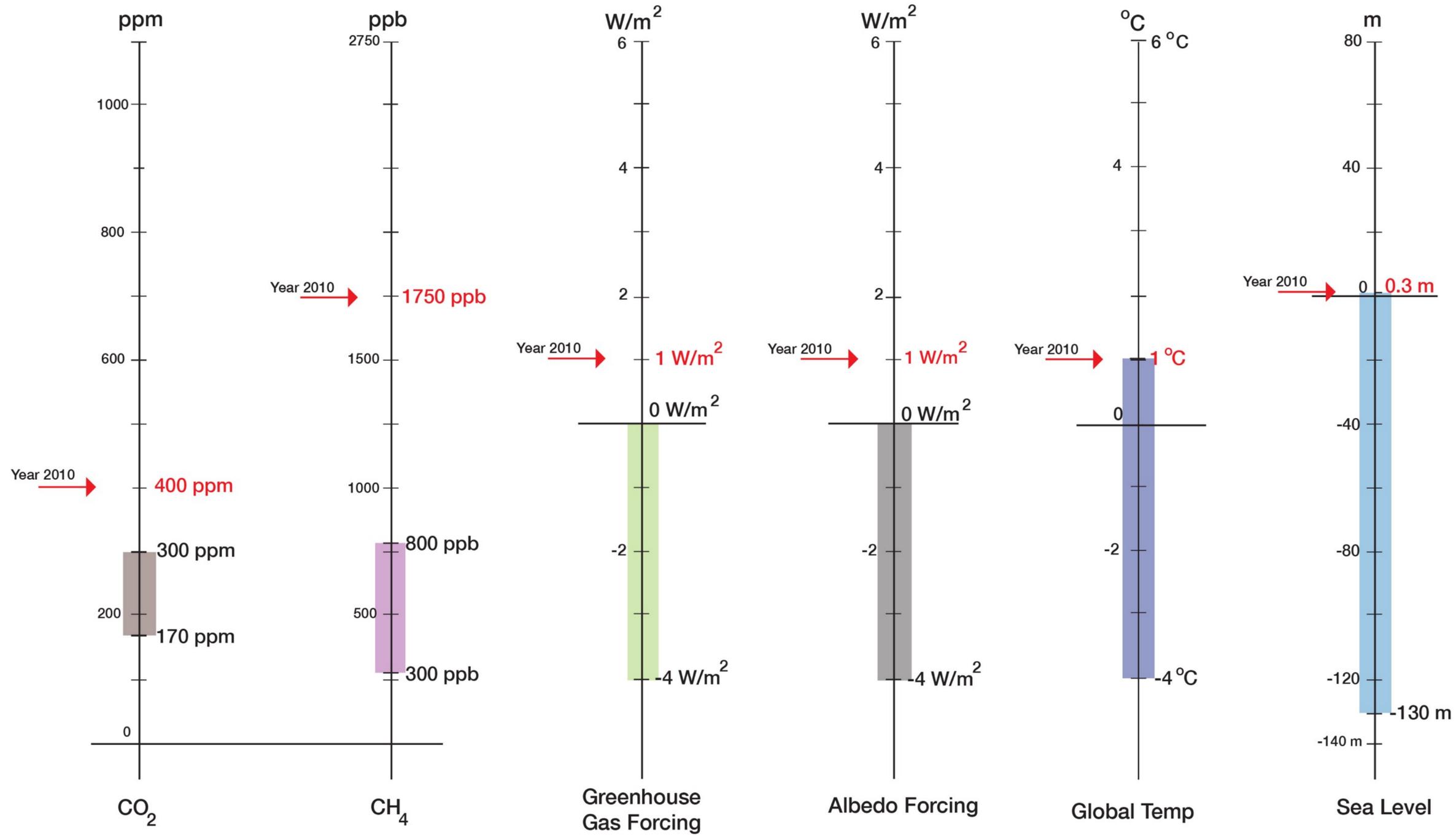


Holocene: Stability

20th and 21st Century: Change, imbalance

Future: Uncertainty

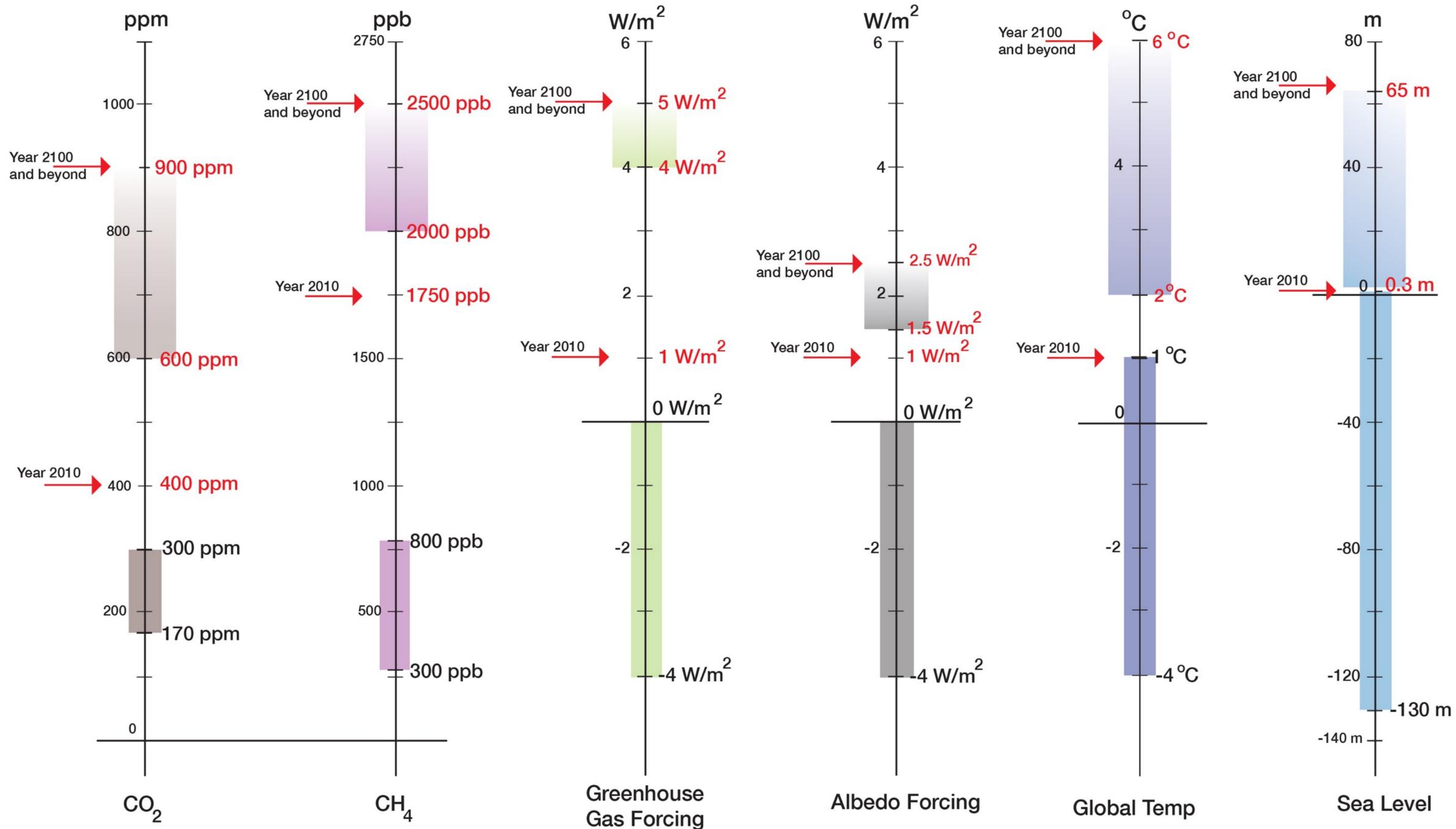
The Prognosis: Anticipating Surprises



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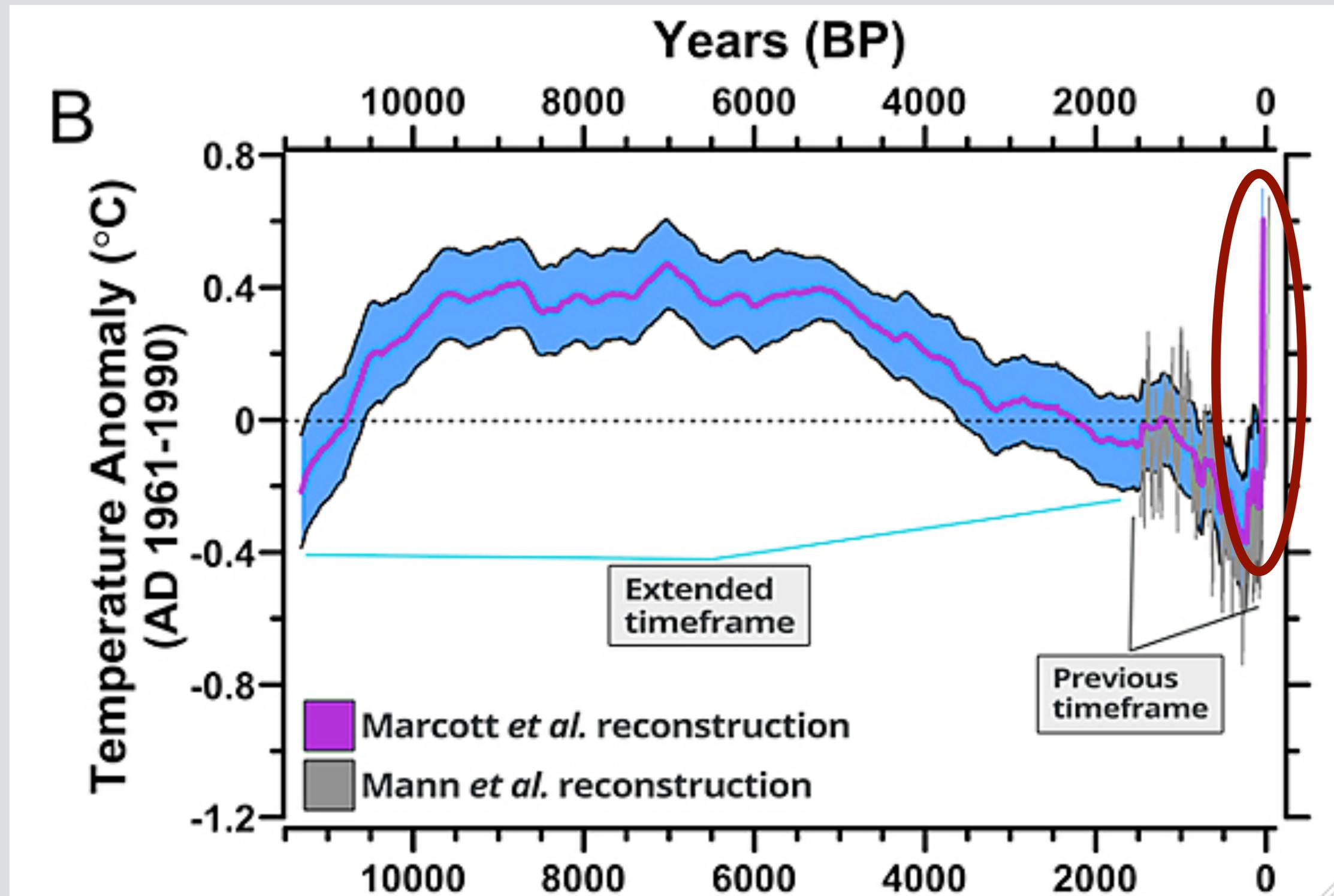
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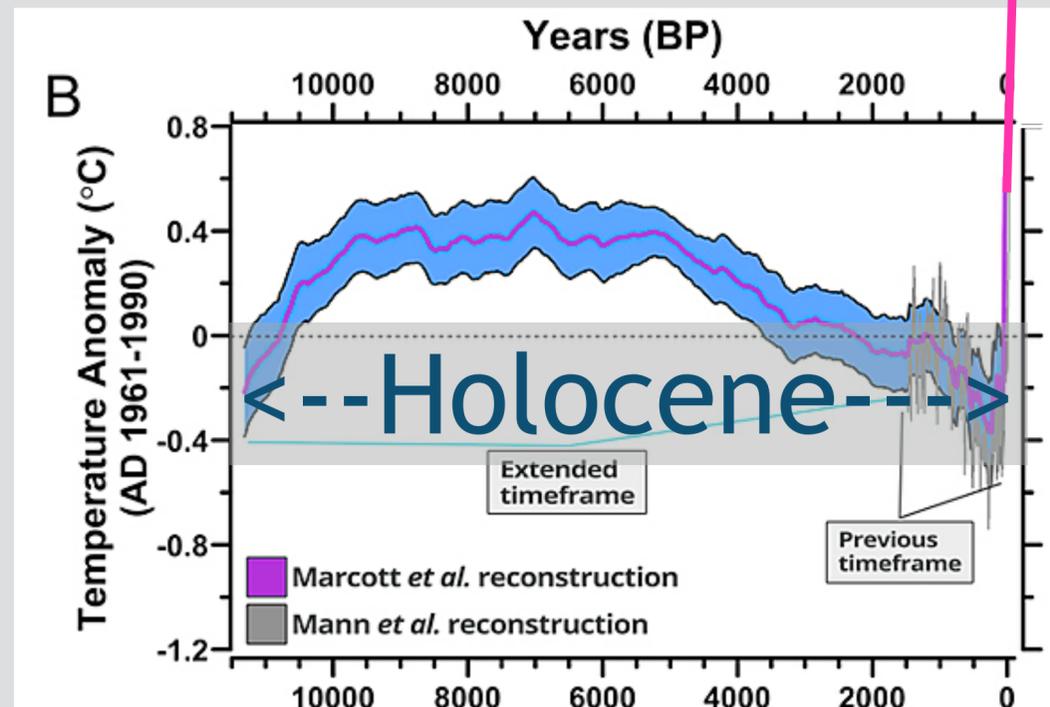
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<-- Post-Holocene

IPCC Assessment:
Very Likely by 2100



The Therapy: Lifestyle Changes

Economy is the link between humanity and Earth's life-support system



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Economy for humanity:
“An economy that meets our needs while safeguarding Earth's life-support system, on which the welfare of current and future generations depends.”

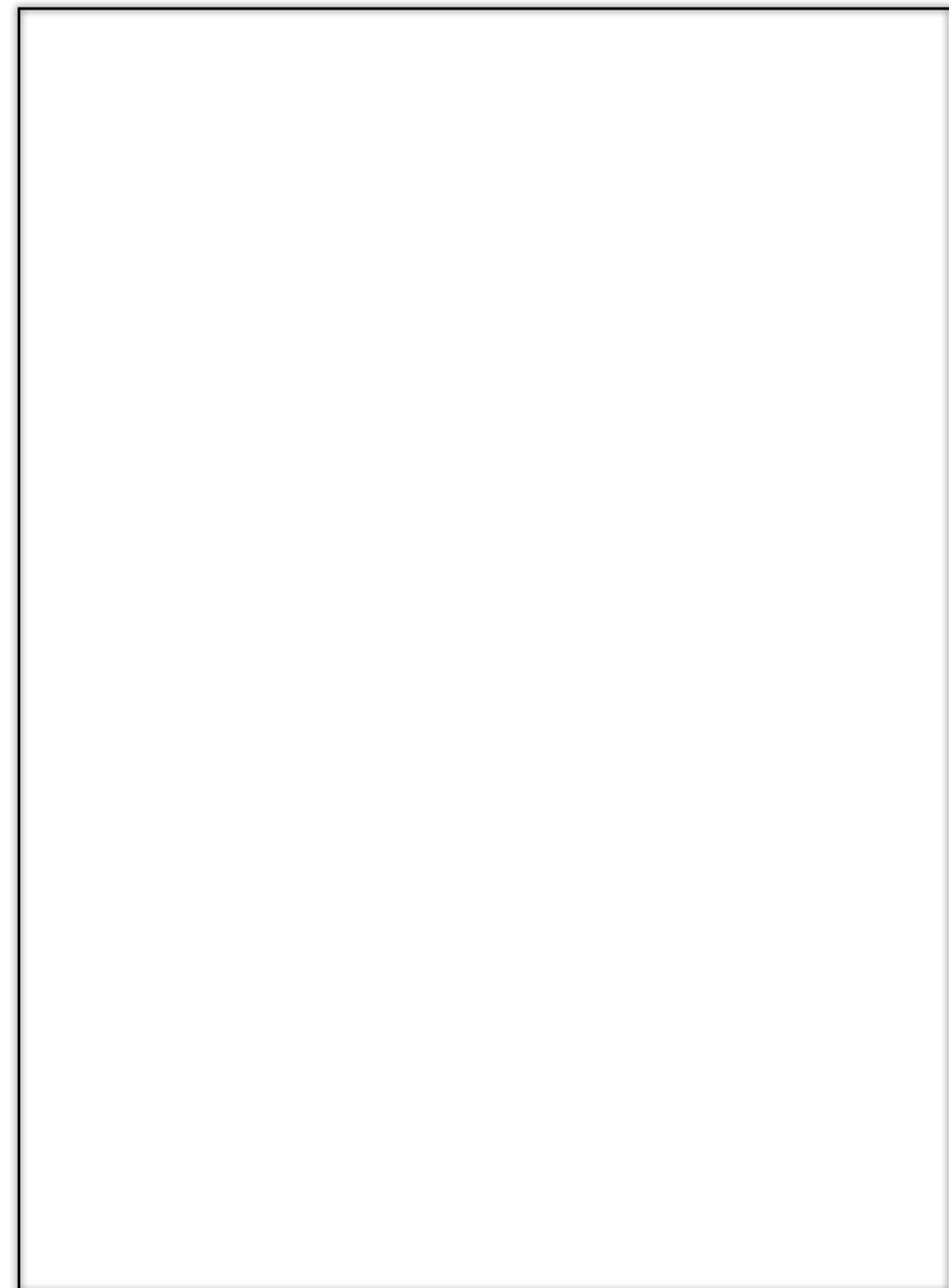


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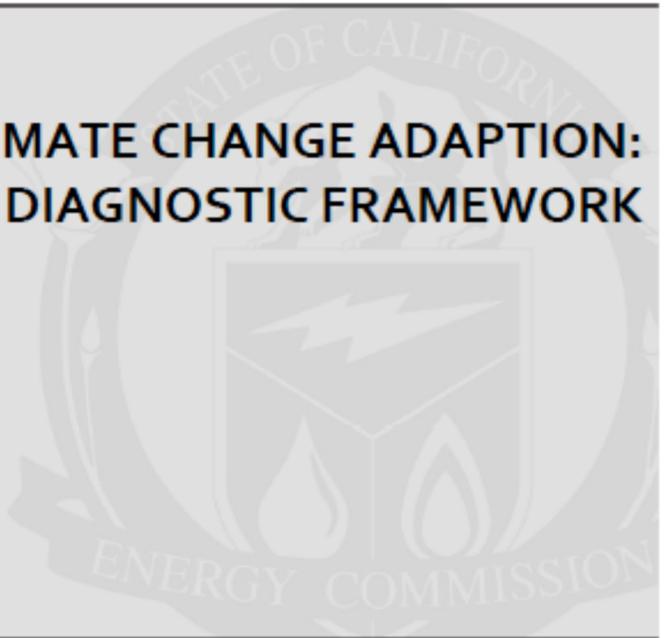
“What is good for Earth's life support system is good for humanity”





Public Interest Energy Research (PIER) Program
FINAL PROJECT REPORT

BARRIERS TO CLIMATE CHANGE ADAPTION: A DIAGNOSTIC FRAMEWORK



Prepared for: California Energy Commission
Prepared by: Lawrence Berkeley National Laboratory



SEPTEMBER 2011
CEC-500-2011-004

Ekstrom et al., 2011

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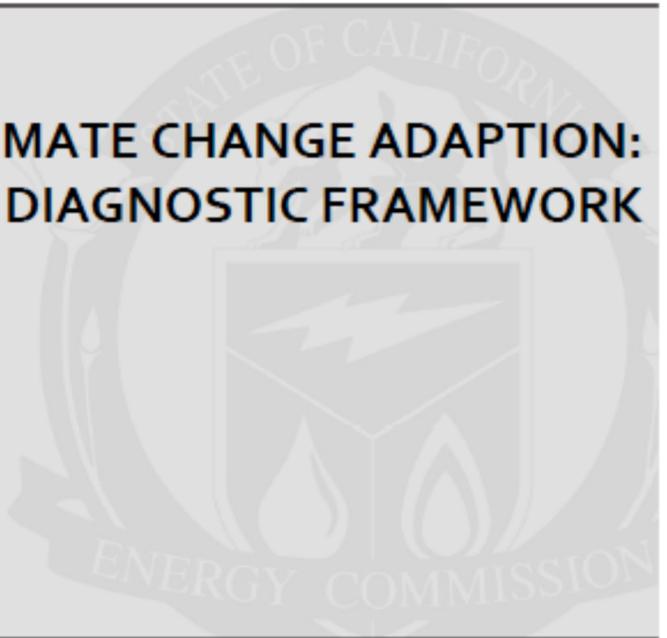
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More recent studies do not distinguish between adjustments and adaptation in response to stimulus.

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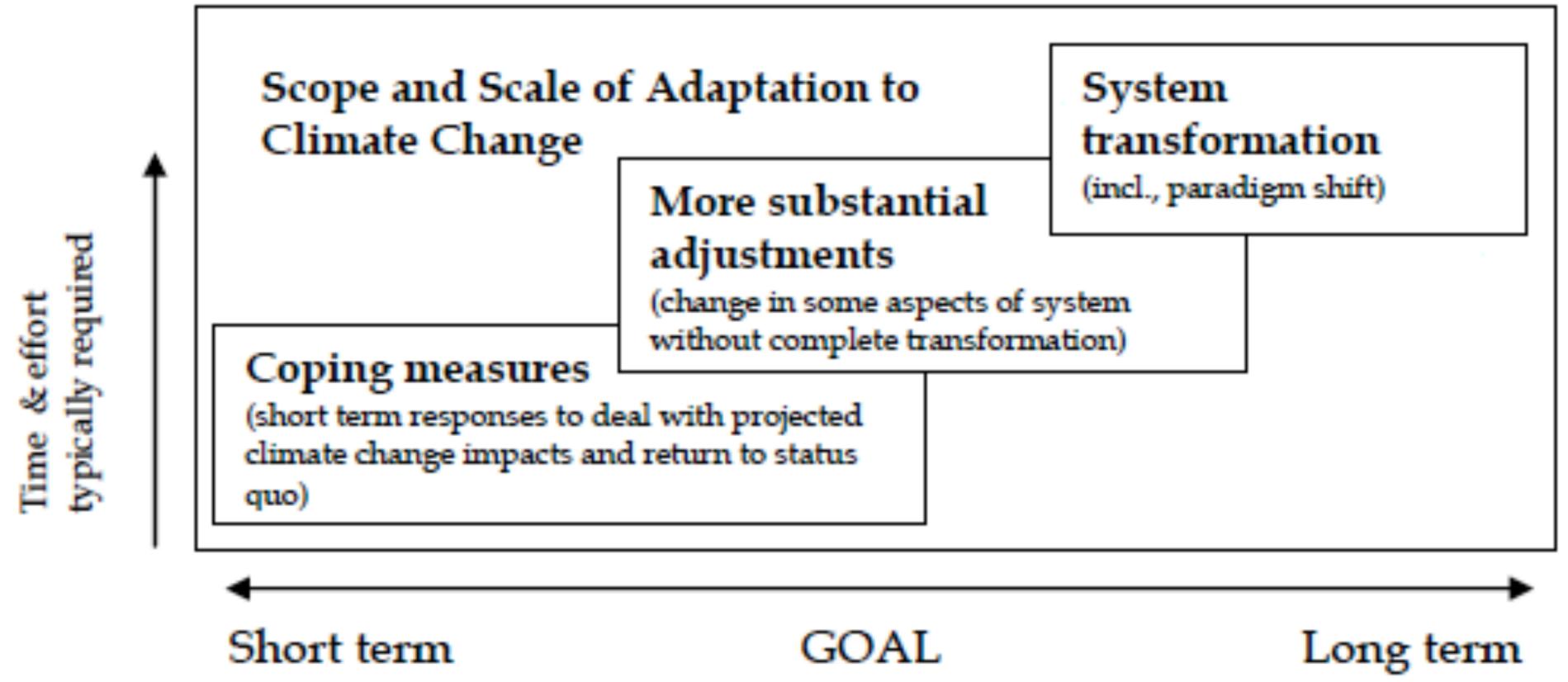
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Mitigation:

1. the act of mitigating, or lessening the force or intensity of something unpleasant, as wrath, pain, grief, or extreme circumstances: Social support is the most important factor in the mitigation of stress among adolescents.
2. the act of making a condition or consequence less severe: the mitigation of a punishment.
3. the process of becoming milder, gentler, or less severe.
4. a mitigating circumstance, event, or consequence.

Mitigation and Adaptation - Some Definitions

Adaptation:

1. the act of adapting.
2. the state of being adapted; adjustment.
3. something produced by adapting: an adaptation of a play for television.
4. Biology:
 - a. any alteration in the structure or function of an organism or any of its parts that results from natural selection and by which the organism becomes better fitted to survive and multiply in its environment.
 - b. a form or structure modified to fit a changed environment.
 - c. the ability of a species to survive in a particular ecological niche, especially because of alterations of form or behavior brought about through natural selection.
5. Physiology: the decrease in response of sensory receptor organs, as those of vision, touch, temperature, olfaction, audition, and pain, to changed, constantly applied, environmental conditions.

Source: <http://dictionary.reference.com/browse/adaptation>

We can characterize:

- **mitigation** as a more “**external**” process that protects the organism by reducing a threat or severe condition;
- **adaptation** as a more “**internal**” process that alters an organism or its behavior.

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- **adaptation** as a more “**internal**” process that alters an organism or its behavior.

Contemporary usage reflects this:

- **mitigation** points to a process of **reducing a threat** or the **impacts** and preventing something from happening,
- **adaptation** is more used for processes that enable an organism or system to **handling certain conditions and the changes** in these conditions.

Successful adaptation does reduce impacts and disaster risk, and so does mitigation.

Mitigation and Adaptation - Some Definitions

Successful adaptation does reduce impacts and disaster risk, and so does mitigation.

Impact **mitigation** seems to have the goal to **reduce a threat and to prevent impacts**, and it often involves protection, engineering, technology, etc.

If these measures fail (e.g., a storm surge comes over a dike or breaks through), the disaster is normally exaggerated because preparedness for this case is low.

Adaptation seems to be more focused on **changes in human behavior** or use, while mitigation of impacts is more often used to refer to changes in the built environment.

Adaptation has the goal to accept the changing conditions by changing our behavior or use of the built environment or our exposure to new hazards (retreat).



...s reduce impacts and disaster risk, and so does mitigation.

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Mitigation and Adaptation - Some Definitions



*accept the changing conditions by
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SPRINGER BRIEFS IN PUBLIC HEALTH · ETHICS

Travis N. Rieder

Toward a Small Family Ethic

How Overpopulation and Climate Change Are Affecting the Morality of Procreation

The Springer logo, featuring a chess knight icon and the word "Springer".

Mitigation and Adaptation - Some Definitions

Intergovernmental Panel on Climate Change (IPCC):

- **climate change mitigation**: the process of mitigating (i.e., reducing) climate change mainly by reducing the climate forcing that results from increasing atmospheric concentration of Greenhouse Gases (GHG) or other anthropogenic forcing factors, such as albedo changes.

In a more general sense, **climate change mitigation** is any action taken to permanently eliminate or **reduce the long-term risk and hazards of climate change**.

- **climate change adaptation**: the process of enabling the built environment, social communities, and ecosystems to function and exist under the changing climate conditions that could not be mitigated.

Climate change adaptation refers to **adjustments in natural and human systems** in response to actual or anticipate climate stimuli or their effects, which moderate harm or exploit beneficial opportunities.

It is interesting to note that in some groups, climate change mitigation is equated to a reduction of fossil fuels and carbon dioxide emissions, neglecting the fact that 35-45% of the climate forcing results from non-CO₂ GHGs (Ripple et al., 2013).

Insurance industry:

- mitigation denotes activities, efforts, and investments that reduce the likelihood (probability) or consequences (severity) of a threat.
- adaptation: not explicitly used widely.

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Risk is the product of hazard probability, vulnerability, and exposed assets.

For most natural hazards:

- we have little means to impact hazard probability,
- we can reduce vulnerability: often equates to mitigating impacts of the hazard
- we can reduce exposure: requires a change in life and land use (adaptation).

Disaster Communities:

- mitigation: process of preventing a hazardous situation turning into a crisis or disaster;
- adaptation: refers to the process of handling the crisis.

Insurance industry:

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Although insurances do not use the term adaptation, it is implicitly addressed as a way of reducing disaster risk.

Mitigation and Adaptation - Some Definitions

At all levels we always have the choice to:

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with rather different social, economic, and environmental consequences.

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Our language should be flexible enough to reflect these choices.

For the course, we therefore consider three terms as relevant, combining:

- the definition of (climate) change mitigation (including speed of change);
- a narrower definition of (climate) change adaptation as system transformation;
- mitigation of (climate) change impacts (in the sense of crisis management and disaster risk reduction)

Definitions:

Definitions:

1. **Mitigation of Change:** actions that limit and reduce changes/degradations in the Earth's life-support system.
2. **Mitigation of impacts:** actions that aim to protect against certain levels of impacts resulting from degradation of the Earth's life-support system, including climate change and/or SLR.
3. **Adaptation:** systemic transformations that increase our preparedness for a wide range of probable changes and allow us to cope with the changes if and when they happen.

POLICYFORUM

CLIMATE CHANGE

Hell and High Water: Practice-Relevant Adaptation Science

Adaptation requires science that analyzes decisions, identifies vulnerabilities, improves foresight, and develops options.

R. H. Moss,*† G. A. Meehl, M. C. Lemos, J. B. Smith, J. R. Arnold, J. C. Aronoff, D. Behar, G. P. Brasseur, S. B. Broomell, A. J. Busalacchi, S. Dessai, K. L. Ebi, J. A. Edmonds, J. Furlow, L. Goddard, H. C. Hartmann, J. W. Hurrell, J. W. Katzenberger, D. M. Liverman, P. W. Mote, S. C. Moser, A. Kumar, R. S. Pulwarty, E. A. Seyler, B. L. Turner II, W. M. Washington, T. J. Wilbanks

Informing the extensive preparations needed to manage climate risks, avoid damages, and realize emerging opportunities is a grand challenge for climate change science. U.S. President Obama underscored the need for this research when he made climate preparedness a pillar of his climate policy. Adaptation improves preparedness and is one of two broad and increasingly important strategies (along with mitigation) for climate risk management. Adaptation is required in virtually all sectors of the economy and regions of the globe, for both built and natural systems (1).

However, without the appropriate science delivered in a decision-relevant context, it will become increasingly difficult—if not impossible—to prepare adequately (2). We suggest a number of measures to hasten the development of science to correct maladaptations to current climate variability and support society's increasing need to adapt to a changing climate, drawing on lessons from experience, insights from related endeavors such as sustainability science (3), and input from scientific and stakeholder communities.

Adaptation Planning, Information Gaps, and the Need for Adaptation Science

Initial adaptation planning is occurring in some sectors, such as water resource management, forestry, insurance, and coastal zone management. A limited but growing number of states and cities are developing adaptation plans. U.S. federal agencies have implemented sustainability plans that include mitigation and adaptation (4).

There are serious science gaps, however (5, 6). In many communities, decision-makers lack climate information or the means to apply it. In others, knowledge of current or potential future impacts exists, but not in a form or context that decision-makers can assimilate or act on in advance. In still



others, engineering innovations are needed, as well as social science knowledge, to guide technology deployment and adjustments to management, investments, and public policy.

A key characteristic of emerging adaptation science is that it is both basic—in that it contributes to understanding fundamental physical, environmental, and socioeconomic research questions—and applied, because it is problem focused. Scientists and practitioners “coproduce” relevant research by jointly defining questions and maintaining frequent interactions (7). Coproduction is challenging to implement and sustain because participants often have different roles, vocabularies, interests, methods, and incentives. The effectiveness of communications and deliberative processes among scientists and practitioners requires empirical evaluation (8).

To support the wide range of necessary adjustments, we outline a comprehensive, integrated approach to research in social, physical, environmental, engineering, and other sciences. We describe adaptation science research needed to under-

stand decision processes and information requirements, identify vulnerabilities, improve foresight about climate risks and other stressors, and understand barriers and options for adaptation.

Understand Decision Processes and Knowledge Requirements

Adaptation science research must clarify what types of scientific information are required for improved decision-making. Decision-makers are concerned with cost, feasibility, social acceptance, tradition, and other factors. To close a “usability gap,” scientific information must fit into existing contexts (9). Organizational, cognitive, political, ethnographic, and decision sciences research is needed to clarify the problem, the values of participants, and the context in which the information will be applied. Understanding perception and apprehension of climate change risks is also a priority.

Identify Vulnerabilities

Research to characterize vulnerability and adaptive capacity focuses on pinpointing infrastructure, economic sectors, geo-

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The entrance to a garage in Lower Manhattan on 31 October, 2012, as New York City began clean-up after Hurricane Sandy.

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CLIMATE CHANGE

Hell and High Water: Practice-Relevant Adaptation Science

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Adaptation Science in Action

Scientists and practitioners are collaborating on research and applications to support climate change preparedness and address other nonclimate issues. Case examples document research and actions, such as plans and projects, but do not yet provide evidence of improved outcomes. Improved methods and data are needed for evaluating results (29).

- Well before Hurricane Sandy, New York City established a task force of city officials, utilities, commercial firms, and researchers to support formulation of adaptation options for vital infrastructure.
- Major urban water utilities, university-based research centers, and private-sector firms in the United States are collaborating to pilot applications of climate science and water utility modeling applications to quantify impacts of climate change on their water systems and evaluate adaptation strategies.
- Researchers in Australia developed a plant functional trait database and modeled habitat suitability under climate scenarios for naturalized and invasive plants to enable land managers to make better-informed decisions about land management at a national and regional level.
- Researchers and local practitioners in the Vietnamese city of Hue and the Bangladeshi city of Sakhira collaborated to assess climate-related risks, identify adaptation strategies, and strengthen local capacity to manage the interaction of rapid development and climate impacts.
- Researchers worked with small-scale farmers and agricultural extension officials in sub-Saharan Africa to provide a bridge between scientific and indigenous knowledge of drought onset and coping strategies to improve delivery of drought prediction information for vulnerable, rain-fed farming operations.



graphic areas, population groups, and ecosystems at greatest risk of harm (10). Two challenges are to:

Improve data, methods, and scenarios for research on vulnerability and resilience of human and natural systems. A geo-referenced data system for factors such as population, economic status, preparedness, natural capital, and location of sensitive infrastructure needs to be established and maintained to identify vulnerable human communities and environments. Effective response will be aided by understanding the extent to which vulnerability arises from poverty, under-investment, environmental factors, and their interactions with climate variability and change.

Identify climate thresholds in vulnerable systems. Knowledge of climate and related thresholds, points at which fundamental transformations occur in natural or human systems as climate changes, will improve resource management and inform debates about future atmospheric greenhouse gas stabilization. Coupled with time-dependent climate scenarios, improved knowledge of climate thresholds may help in estimating when effects could occur and thus facilitate setting adaptation priorities.

Improve Foresight About Climate Hazards and Other Stressors

Physical and biological scientists must study climate processes and develop models to deliver insights about climate features, including temperature and precipitation extremes, and related processes such as evolution of ecosystems, sea level rise, and other first-order effects. Social sciences can characterize human contributions to climate change through emissions and land use and inform mechanisms for improving interactions between climate scientists and potential users (11). Research challenges include:

Understand recent and potential future changes in extreme climate events. Extremes occur on many spatial and time scales. They include heat waves, droughts, floods, storms, and other events that have major effects on human and natural systems. There is evidence that many of these extremes are intensifying (1, 12). A concerted focus on detecting changes in extremes and improving predictive products can provide important inputs to adaptation planning and preparedness.

Improve integration of weather and climate information. Common elements of initializing predictions with observations and providing probabilistic weather and climate information across time scales can consti-

tute a unified approach for weather forecasts and climate predictions (13, 14). Decadal climate predictions with next-generation, high-resolution global climate models [e.g., (15)] have the potential to produce probabilistic near-term climate information over the next decade and improve insight into future conditions to which human societies will have to adapt (16). Research is needed to formulate methods for presenting global climate model information in probabilistic form and applying that information to risk assessment and management (17).

Tailor climate information to facilitate its application in decision-making. Sustained interactions among researchers and decision-makers are needed not only to understand how climate affects assets or resources but also to identify how climate information can be used in decisions (18). In addition to global climate models, other tools can produce relevant information, such as less computationally intensive intermediate-complexity models [e.g., to assess uncertainty (19)], qualitative scenario planning approaches (20), and decision-analytic approaches to use climate model information in ways that supplement conventional scenario-led studies [e.g., (17)]. Climate and decision scientists can tailor information for application through downscaling of climate model data [e.g., (21)] or running fully dynamical nested numerical models

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Scientists and practitioners are collaborating on research and applications to support climate change preparedness and address other nonclimate issues. Case examples document research and actions, such as plans and projects, but do not yet provide evidence of improved outcomes. Improved methods and data are needed for evaluating results (29).

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Improve data, methods, and scenarios for research on vulnerability and resilience of human and natural systems. A geo-referenced data system for factors such as population, economic status, preparedness, natural capital, and location of sensitive infrastructure needs to be established and maintained to identify vulnerable human communities and environments. Effective response will be aided by understanding the extent to which vulnerability arises from poverty, under-investment, environmental factors, and their interactions with climate variability and change.

Identify climate thresholds in vulnerable systems. Knowledge of climate and related thresholds, points at which fundamental transformations occur in natural or human systems as climate changes, will improve resource management and inform debates about future atmospheric greenhouse gas stabilization. Coupled with time-dependent climate scenarios, improved knowledge of climate thresholds may help in estimating when effects could occur and thus facilitate setting adaptation priorities.

Improve Foresight About Climate Hazards and Other Stressors

Physical and biological scientists must study climate processes and develop models to deliver insights about climate features, including temperature and precipitation extremes, and related processes such as evolution of ecosystems, sea level rise, and other first-order effects. Social sciences can characterize human contributions to climate change through emissions and land use and inform mechanisms for improving interactions between climate scientists and potential users (11). Research challenges include:

Understand recent and potential future changes in extreme climate events. Extremes occur on many spatial and time scales. They include heat waves, droughts, floods, storms, and other events that have major effects on human and natural systems. There is evidence that many of these extremes are intensifying (1, 12). A concerted focus on detecting changes in extremes and improving predictive products can provide important inputs to adaptation planning and preparedness.

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Develop technologies and performance standards. A changed climate system with conditions never before experienced by human societies may alter effectiveness of historically successful adaptation approaches and limit types of adaptation (27). Adaptation options are needed that are robust to different climate and socioeconomic development pathways, and are thus effective in both the short-term and the long-term (e.g., improved water distribution or desalination systems, and advances in electricity generation, transmission, and distribution). Organizations and processes for establishing manufacturing, building, and other standards (e.g., the International Organization for Standardization) could contribute to the development of adaptation options.

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Learn from experience. A number of knowledge platforms are beginning to catalog and evaluate experiences to promote information exchange and learning. Learning and disseminating of lessons needs to be accelerated to improve decision-making. Enabling private companies to share experiences without damaging commercial interests is a particular challenge.

Measures to Establish Adaptation Science
First steps in establishing institutions to conduct and support adaptation science are taking place. In the United States, “mission” agencies—including the Environmental Protection Agency, the National Oceanic and Atmospheric Administration (NOAA), and the Department of the Interior—have supported the use of scientific information in adaptation. The Regional Integrated Sciences

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Advances in adaptation science will not happen without two transformational changes: (i) an institutional structure to catalyze, coordinate, evaluate, and encourage the use of advances in adaptation science; and (ii) an increase in funding. National Research Council reports [e.g., (6)] and recommendations of a U.S. federal interagency committee (30) provide detailed recommendations that would help advance climate adaptation science.

One U.S. approach could be a national institute on climate preparedness composed of research and applications centers for adaptation in priority sectors or challenges. The institute would be geographically distributed and function in some ways similar to the National Institutes of Health. It would build on the current USGCRP, agency sectoral or regional research centers, and regional nodes for the National Climate Assessment’s sustained assessment process. Providing sufficient resources for collaboration and learning would facilitate research on coupled systems and offer an opportunity to better connect adaptation science to interagency programs.

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Supplementary Materials
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There is an urgent need for “practice-relevant adaptation science”

One U.S. approach could be a national institute on climate preparedness composed of research and applications centers for adaptation in priority sectors or challenges. The institute would be geographically distributed and function in some ways similar to the National Institutes of Health.

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POLICYFORUM

nance, and incentives to facilitate adaptation. Four priorities are to:

Identify, explain, and explore opportunities to overcome barriers to adaptation. Existing laws, regulations, and other practices can limit adaptation. These include legal and financial mechanisms, from local to international jurisdictions. Research is needed to identify these barriers and develop solutions to minimize or surmount them.

Develop technologies and performance standards. A changed climate system with conditions never before experienced by human societies may alter effectiveness of historically successful adaptation approaches and limit types of adaptation (27). Adaptation options are needed that are robust to different climate and socioeconomic development pathways, and are thus effective in both the short-term and the long-term (e.g., improved water distribution or desalination systems, and advances in electricity generation, transmission, and distribution). Organizations and processes for establishing manufacturing, building, and other standards (e.g., the International Organization for Standardization) could contribute to the development of adaptation options.

Develop indicators and monitoring and evaluation systems. Monitoring and evaluation will help track progress in enhancing resilience, prioritizing adaptation funding, and evaluating effectiveness of adaptation options. For adaptation options related to infrastructure and some natural resources, relevant indicators are relatively easy to quantify. For measures focused on governance or livelihoods, indirect proxy variables must be defined. Case studies dominate adaptation evaluations, with little comparative research on implementation (28).

Learn from experience. A number of knowledge platforms are beginning to catalog and evaluate experiences to promote information exchange and learning. Learning and disseminating of lessons needs to be accelerated to improve decision-making. Enabling private companies to share experiences without damaging commercial interests is a particular challenge.

Measures to Establish Adaptation Science
First steps in establishing institutions to conduct and support adaptation science are taking place. In the United States, “mission” agencies—including the Environmental Protection Agency, the National Oceanic and Atmospheric Administration (NOAA), and the Department of the Interior—have supported the use of scientific information in adaptation. The Regional Integrated Sciences

and Assessments Program, Climate Science Centers, and other efforts aim at developing a collaborative framework between research and practice (29). The European Union has provided research funding and launched an information portal (www.eea.europa.eu/themes/climate/european-climate-adaptation-platform-climate-adapt). Germany, the United Kingdom, and other countries are moving forward to establish services (e.g., www.climate-service-center.de). Australia has made adaptation a priority (www.csiro.au/Outcomes/Climate/Adapting.aspx).

Advances in adaptation science will not happen without two transformational changes: (i) an institutional structure to catalyze, coordinate, evaluate, and encourage the use of advances in adaptation science; and (ii) an increase in funding. National Research Council reports [e.g., (6)] and recommendations of a U.S. federal interagency committee (30) provide detailed recommendations that would help advance climate adaptation science.

One U.S. approach could be a national institute on climate preparedness composed of research and applications centers for adaptation in priority sectors or challenges. The institute would be geographically distributed and function in some ways similar to the National Institutes of Health. It would build on the current USGCRP, agency sectoral or regional research centers, and regional nodes for the National Climate Assessment’s sustained assessment process. Providing sufficient resources for collaboration and learning would facilitate research on coupled systems and offer an opportunity to better connect adaptation science to interagency programs.

More broadly, sustained support for problem-oriented fundamental research on adaptation needs to be increased at research agencies. A particular challenge is to develop effective approaches to learn from adaptation practice as well as published research. Progress toward achieving the goal of adapting to changing climate will require demonstrating tangible benefits for society by connecting research and applications.

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Five Fields:

- Assessing the hazards
- Knowing the vulnerabilities
- Having foresight
- Understanding decision making
- Developing options

- Reading the reading material is important:
 - Questions to be answered are based on the reading material
 - Written answers need to be provided on Tuesdays
 - Answers will be discussed in the Wednesday classes
- Selecting a topic for the student project:
 - Should be done soon.
 - Will be the basis for the paper and the presentation
- Feel free to contact me/us if there are issues/questions
- Communication by e-mail is the best way to reach me
- Some material will be made available on the workspace at http://www.mari-odu.org/academics/2017s_adaptation